

**LANCASHIRE COMBINED FIRE AUTHORITY
AUDIT COMMITTEE**

Meeting to be held on 29 September 2016

**RISK MANAGEMENT
(Appendix 1 refers)**

Contact for further information:

Keith Mattinson – Director of Corporate Services– telephone 01772 866804.

Executive Summary

The report highlights action taken in respect of corporate risk since these were last reported to the Audit Committee.

Decision Required

The Committee is asked to note the actions taken and endorse the revised corporate risk register.

Information

The latest review of the corporate risk register has not identified any new risks which warrant inclusion on the corporate risk register:-

Existing Risks

Of the existing risks 2 have been reviewed, and an updated corporate risk register is attached as appendix 1, with changes summarised below:-

		Update since last meeting	Proposed Risk Score	
1	Insufficient resources due to poor funding settlement, inability to make required savings, additional financial pressures such as RDS pensions etc., plus council tax limits via local referendum resulting in Authority being unable to set a balanced budget.	No change, not due to report till 31/3/17	9	Medium
2	Premises Risk Information: That operational staff do not have available adequate and reliable premises information to efficiently resolve operational incidents: Risk information is provided to operational staff based on premises information and premises risks are identified on a continuous basis although this is not consistent throughout the Service.	Previously discharged		

3	Insufficient staffing resources, due to Industrial Action, to deal with operational demand and fulfil statutory responsibilities.	Previously discharged		
4	Lack of availability of water supplies for fire fighting prevents effective fire fighting resulting in additional damage to property and increased risk to life.	Previously discharged		
5	The increasing age profile of operational staff could adversely affect our ability to deliver effective emergency response.	Previously discharged		
6	Operational staff do not have the required skills to operate safely at an incident with the potential to result in F/F injuries or fatalities.	Previously discharged		
7	Failure of key ICT systems resulting in disruption to services .	No change, not due to report till 30/6/17	9	Medium
8	Loss of corporate reputation through negative publicity.	No change, not due to report till 31/12/16	9	Medium
9	Retention and recruitment of RDS staff impacts on RDS appliance availability.	No change, not due to report till 31/12/16	12	Medium
10	Lack of workforce planning resulting in significant over/under provision of staff and resulting impact on service and finances.	No change, not due to report till 31/3/17	9	Medium
11	Lack of compliance with legislation resulting in prosecution or compliance order.	Previously discharged		
12	Ineffective Health and Safety in the workplace, resulting in prosecution, intervention fees etc.	No change, not due to report till 31/12/16	9	Medium
13	Lack of effective Information management impacting on service delivery and support or leading to a breach of data protection/freedom of information or a loss of sensitive/personal information.	No change, not due to report till 31/12/16	9	Medium
14	Delayed mobilisation, impacting on service delivery	No change, not due to report till 31/12/16	9	Medium
15	High levels of staff absence due to outbreak of ebola.	Previously discharged		

16	Lack of clarity on future of FRS, leading to inertia	<p>This risk was initially included on the corporate risk register following Sir Ken Knight's review which identified several potential governance models, regional, national, mergers, ambulance, police etc. Since this report was published responsibility for Fire Service has transferred from CLG to Home Office. The Policing and Crime Bill (which is currently going through Parliament) introduces measures which require the police, fire and rescue, and ambulance services to collaborate with one another.</p> <p>As a minimum, the legislation requires Police and Crime Commissioners (PCCs) to be represented on the relevant fire and rescue authority (FRA) (or its committees) with full voting rights, subject to the consent of the FRA.</p> <p>Alternatively, PCCs have the option of putting forward a business case which may include arrangements to take on responsibility for the governance of fire and rescue; or to become the single employer for fire and police, to deliver greater improvements through</p>	In light of this it is proposed that the risk is discharged from the risk register	
----	--	--	--	--

		<p>the integration of back office functions and maximise the benefits of workforce flexibility. As such future options now appear to be:-</p> <ul style="list-style-type: none"> • remain as we are • move towards a PCC <p>Discussions are on-going with the PCC, however at the present time there is no current intention to change governance arrangements. As has always been the case we continue to consult with partner agencies on any proposed major changes within the Service.</p>		
17	Failure of ESMCP to deliver a viable communication facility.	<p>The Service continues to progress work along with the other NW FRS, as the first region to role out the proposed solution. There is concern due to slippage of milestones within the national timescale, and there will be further work undertaken within the NW in October to realise some of the operational issues across the three Emergency Services, in conjunction with the National Home Office programme team. There will be a clearer picture towards the end of the year after this work, and further milestones are reached within the project and the risks will be assessed and updated.</p>	Remains at 9	Medium

18	Inability to maintain service provision in spate conditions.	No change, not due to report till 31/3/17	Remain at 9	Medium
19	Failure to maximise the opportunities that technological advances present due to a lack of capacity within the ICT department, and an inability of staff to keep pace with new development that are implemented.	No change, not due to report till 31/3/17.	Remain at 12	Medium
20	Loss of support for Vector Incident Command product with the product name Command Support System (CSS) leading to ineffective command function at large incidents.	No change, not due to report till 31/12/16.	Remain at 12	Medium

Financial Implications

None

Human Resource Implications

None

Equality and Diversity Implications

None

Environmental Impact

None

Business Risk Implications

The improvement in risk management arrangements should result in reduced business risk

Local Government (Access to Information) Act 1985

List of Background Papers

Paper	Date	Contact
None		
Reason for inclusion in Part II, if appropriate:		